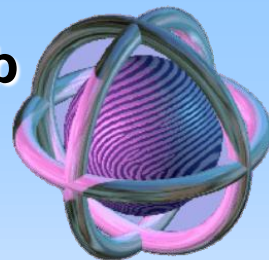


BioLab - Biometric Systems Lab

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<http://bias.csr.unibo.it/research/biolab>



SFinGe

Synthetic Fingerprint Generator

Dr. Raffaele Cappelli

Why generating synthetic fingerprints? (1)

- Testing fingerprint recognition algorithms requires **large databases** of fingerprints, due to the **small errors** which have to be estimated

Example: if an algorithm is quoted at 0.01% FAR (that is, the probability of falsely accepting an impostor is 1 on 10,000), then about 1,000,000 attempts of matching against impostor fingerprints are necessary to claim, with a 95% confidence, that the true error lies in the range [0.006%..0.014%]


- Once a database has been “used” (to test and optimize algorithms) it expires, since for a successive fair testing stage **a new unknown database is mandatory**



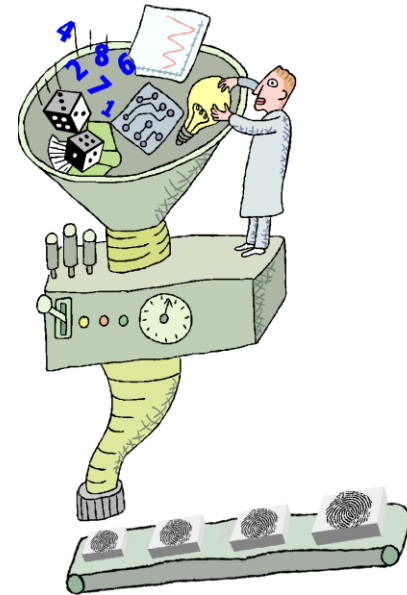
Why generating synthetic fingerprints? (2)

? Collecting large databases of fingerprint images is:

- ✎ **expensive** both in terms of money and time
- ✎ **boring** for both the people involved and for the volunteers, which are usually submitted to several acquisition sessions at different dates
- ✎ **problematic** due to the privacy legislation which protects such personal data



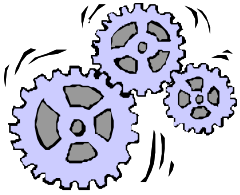
A method able to *artificially* generate realistic fingerprint-images could be used in several contexts to avoid collecting databases of real fingerprints



Outline



Fingerprint anatomy



How SFinGe works



The main steps of the generation method

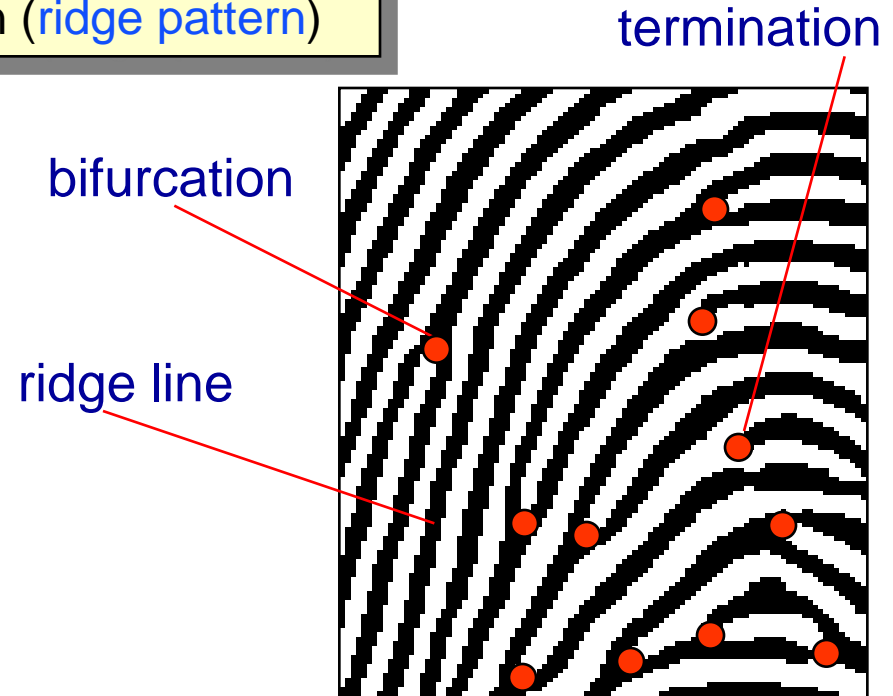
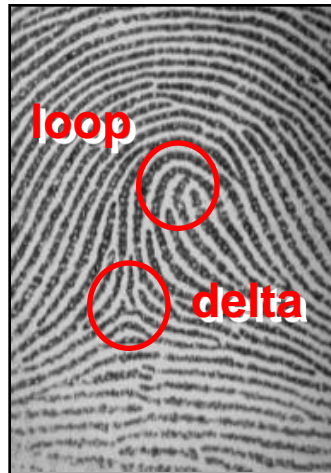


Examples and applications

Fingerprint Anatomy (1)

A fingerprint is composed of a set of lines (**ridge lines**), which mainly flow parallel, making a pattern (**ridge pattern**)

Sometimes the ridge lines produce local **macro-singularities**, called **whorl** (O), **loop** (U) and **delta** (Δ)



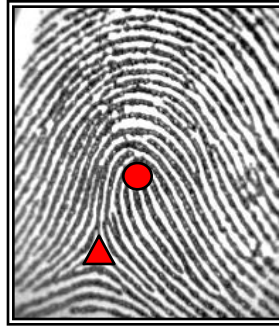
The **minutiae**, or Galton's characteristics, are determined by the **termination** or the **bifurcation** of the ridge lines

Fingerprint Anatomy (2)

arch

tented arch

right loop



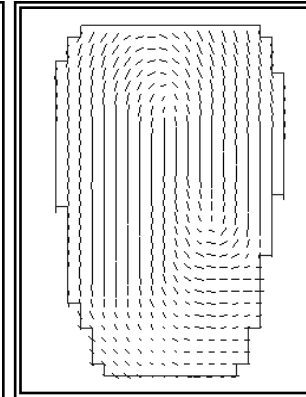
left loop

whorl

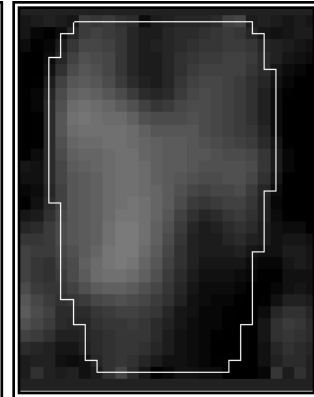
The five main fingerprint classes



fingerprint



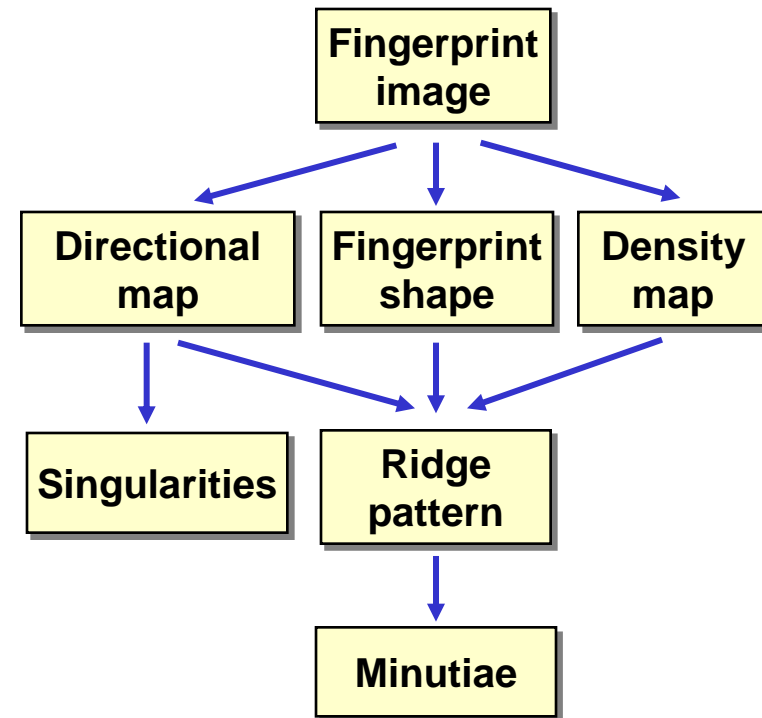
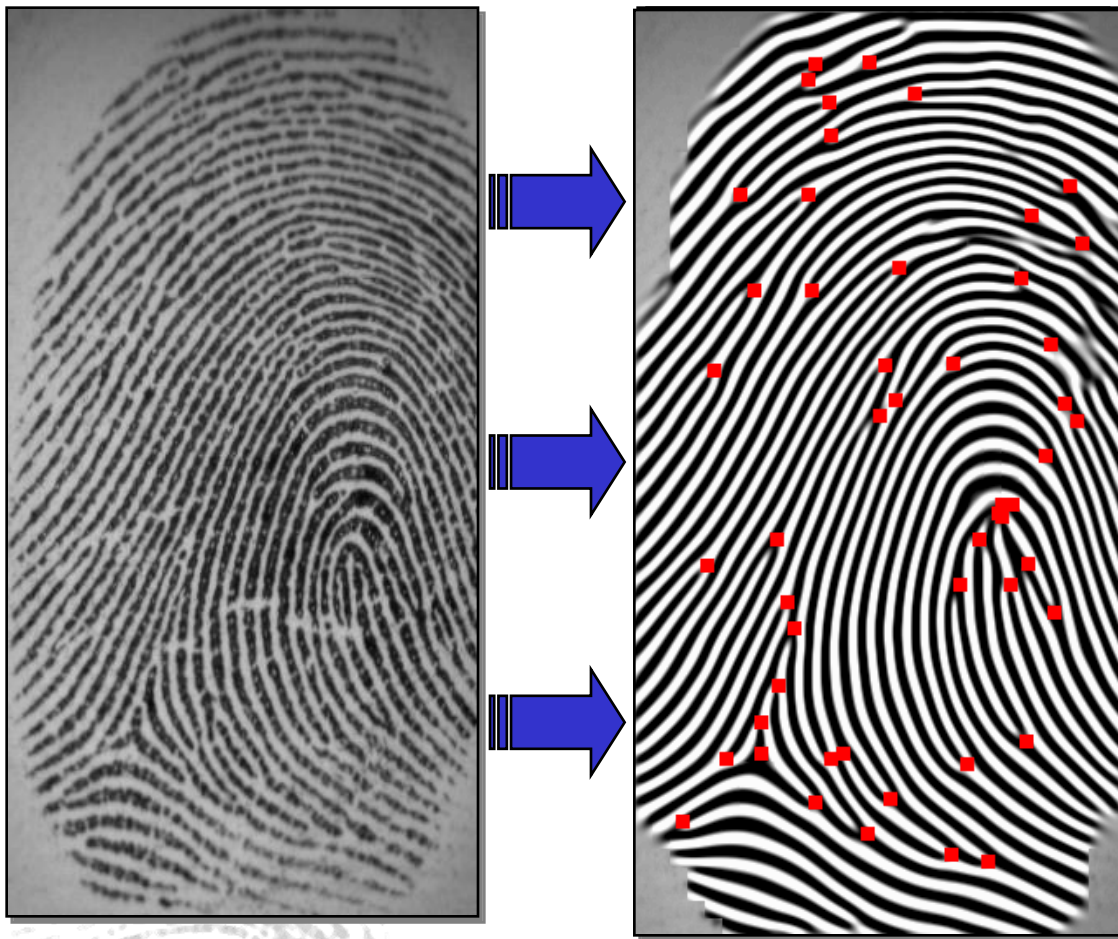
directional
map



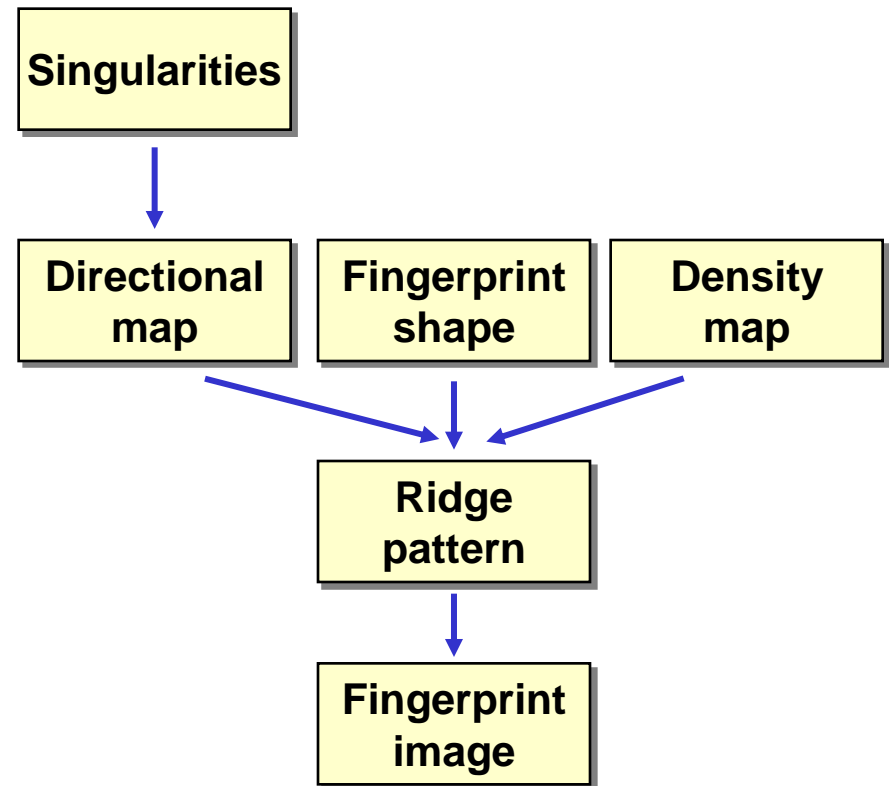
density
map

- The ridge-line flow can be effectively described by a **directional map**
- The ridge line density can be summarized by using a **density map**

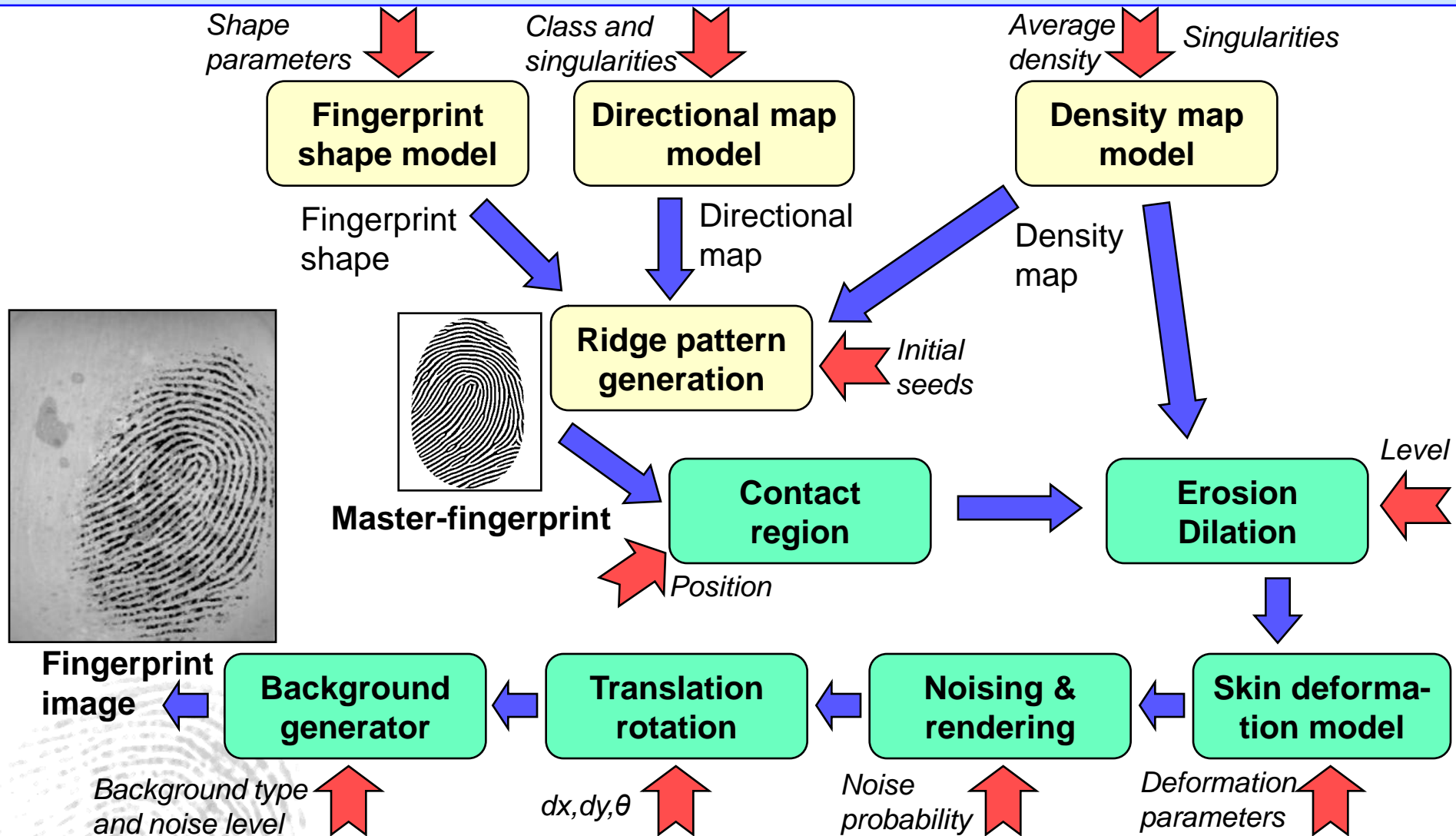
Fingerprint feature extraction



How SFinGe works (1)

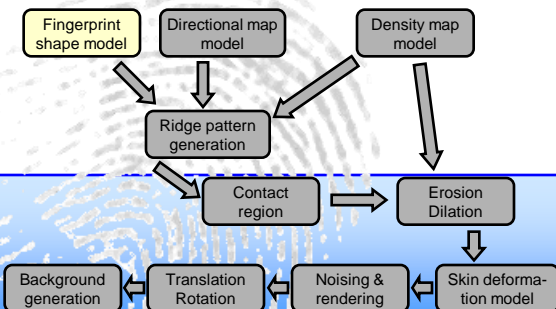
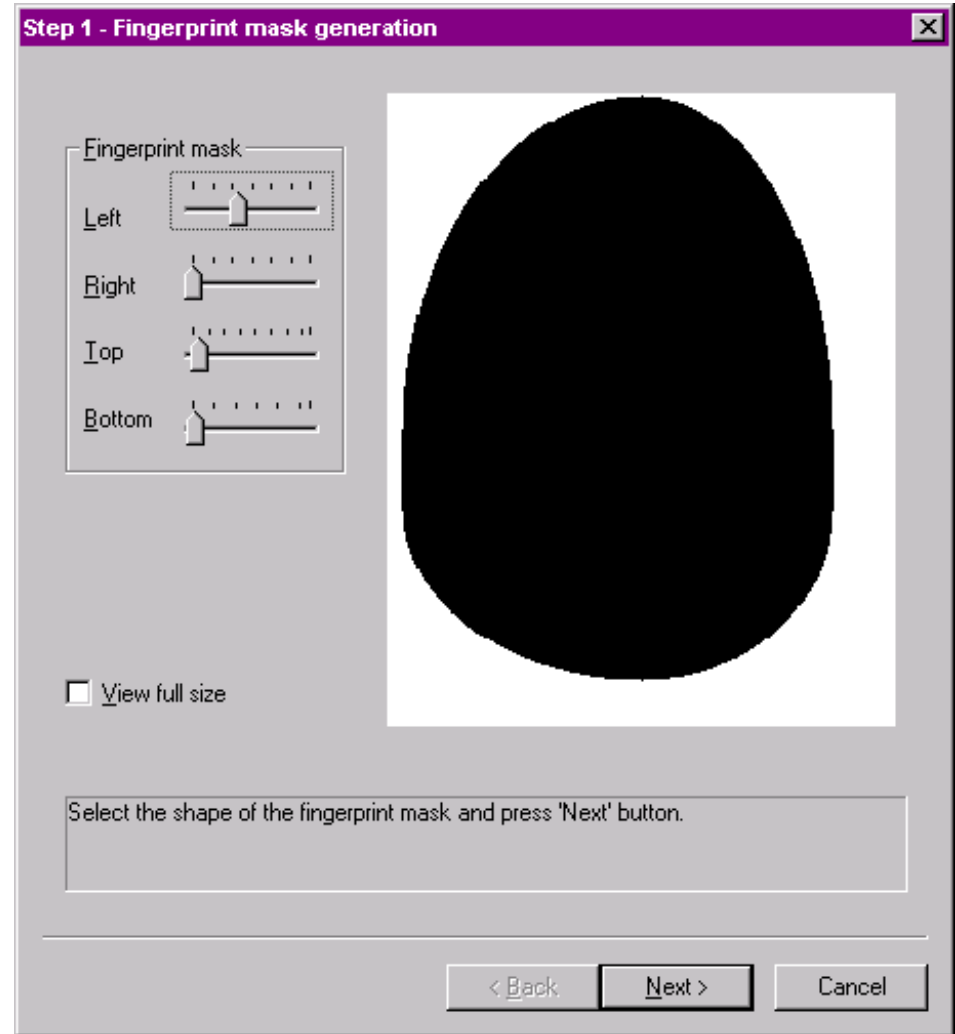
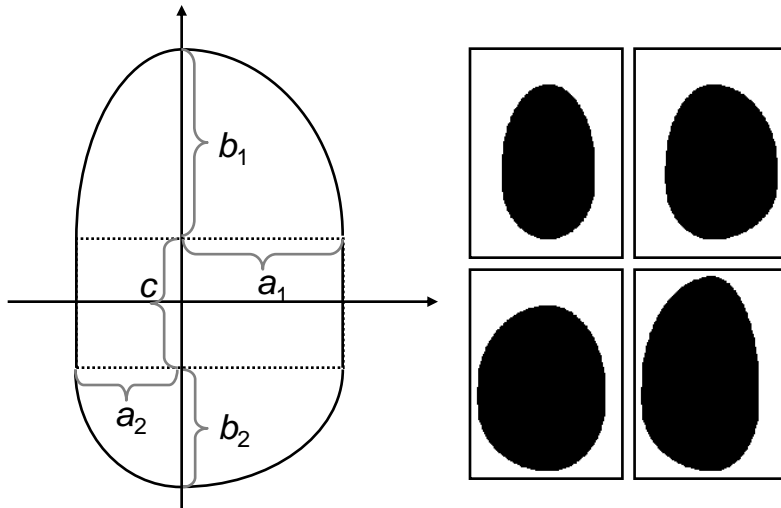


How SFinGe works (2)



Fingerprint shape generation

SFinGe adopts a simple shape model based on **elliptical segments**

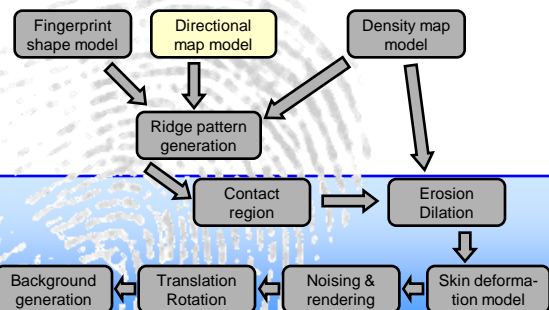
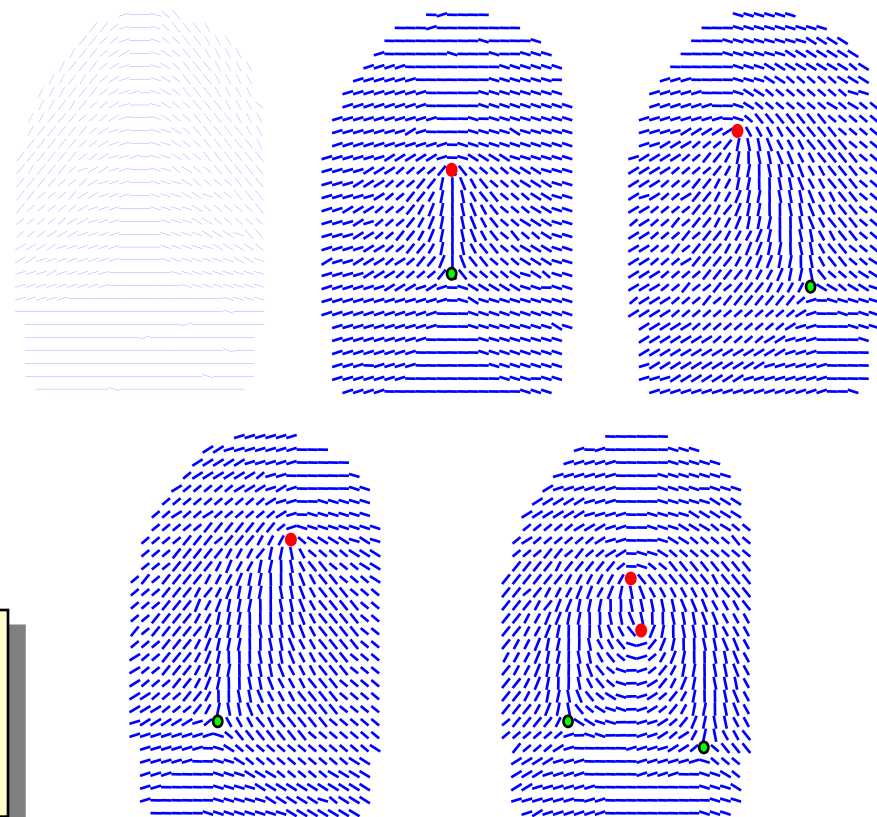


Directional map generation

Starting from the positions of the singularities, a **mathematical ridge-flow model** [Sherlock and Monro '93] is applied to generate a consistent directional map

$$O(\theta) = O_0 + \frac{1}{2} \left[\sum_{i=1}^{n_d} \arg(\theta - d_i) - \sum_{i=1}^{n_c} \arg(\theta - c_i) \right]$$

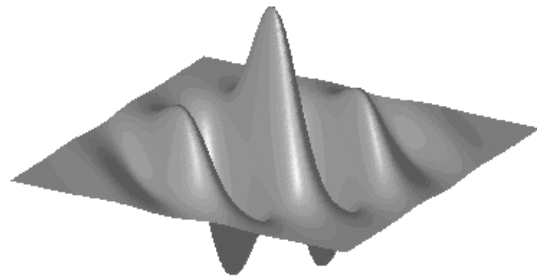
For the **arch class**, SFinGe uses a sinusoidal function whose frequency and amplitude control the arch curvature



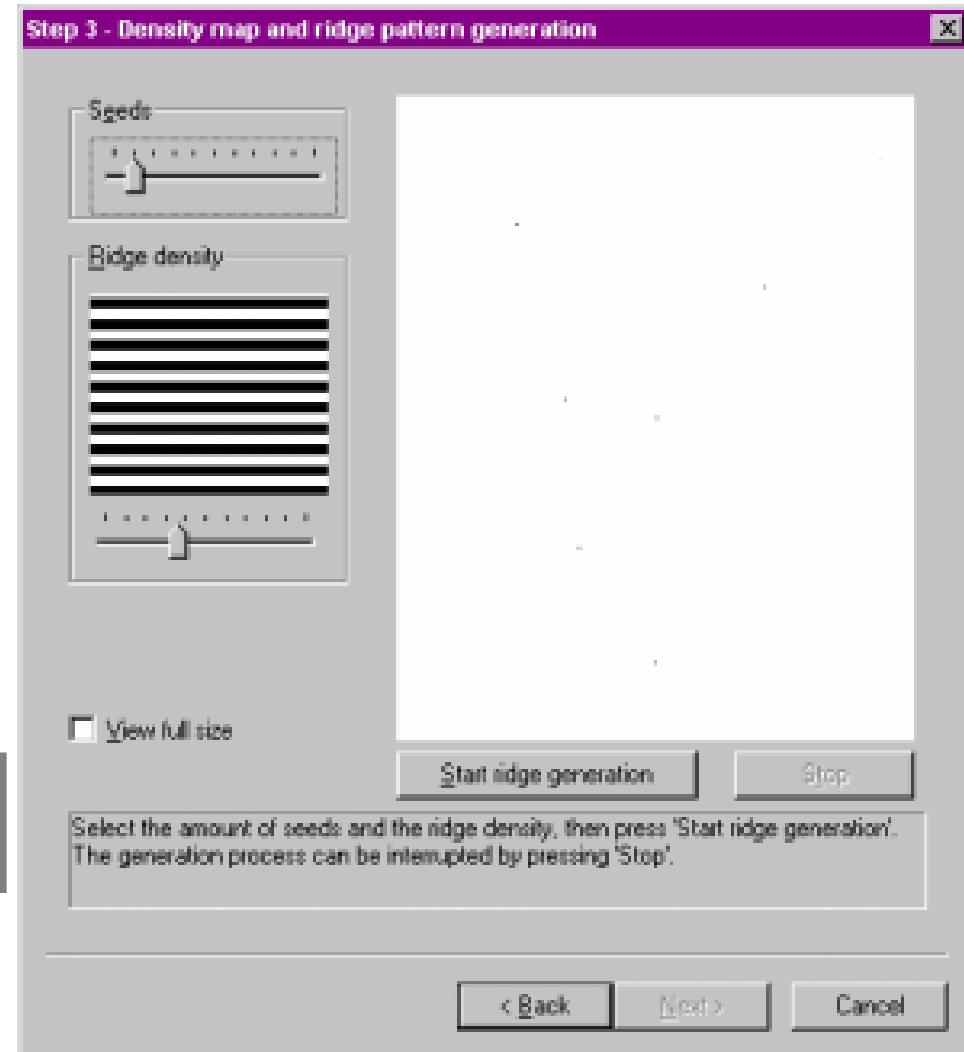
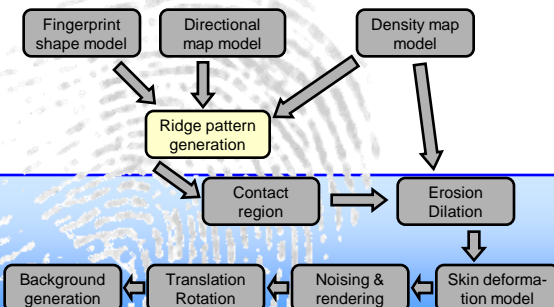
Ridge pattern generation (1)

Gabor-like filters are **iteratively** applied to an initially-white image, enriched with few random points.

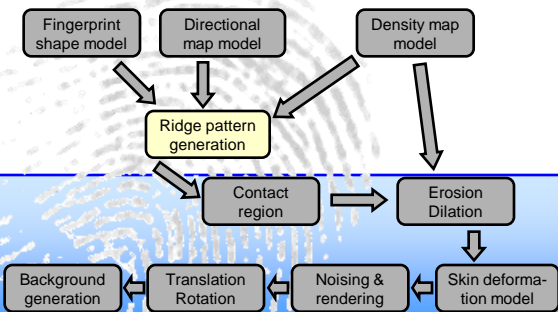
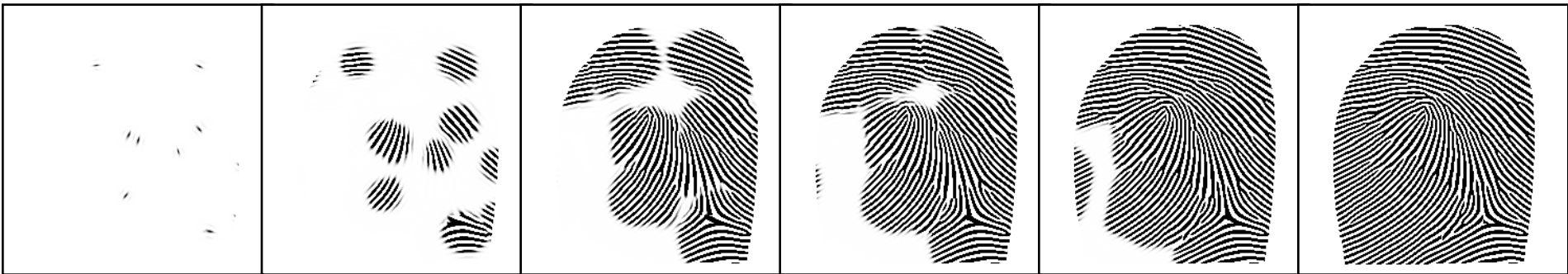
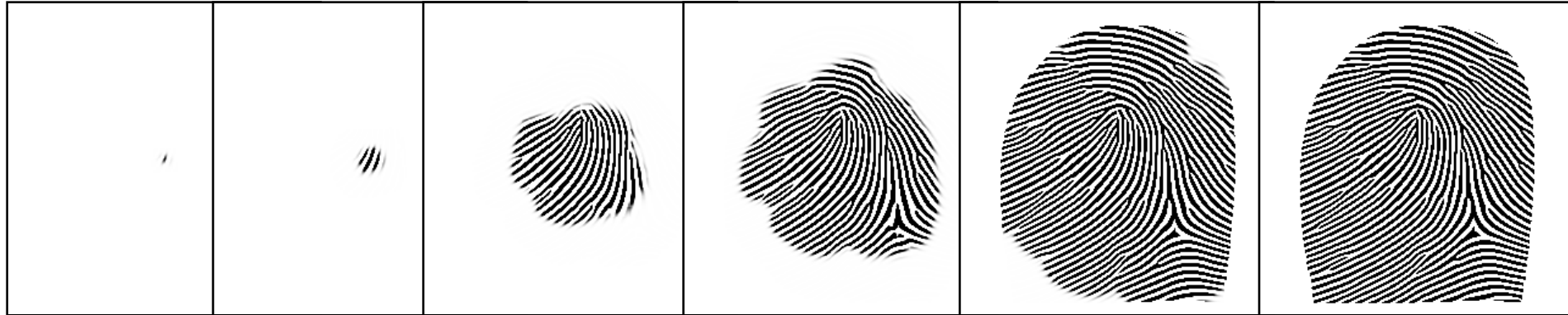
The filters **orientation** and **frequency** are locally adjusted according to the **directional** and **density maps**.



Realistic **minutiae** appear at random positions



Ridge pattern generation (2)



Ridge-line erosion and dilation (1)

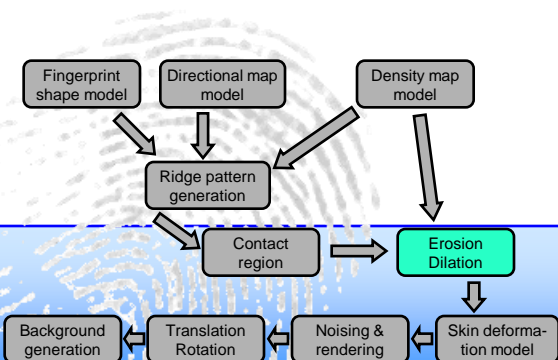
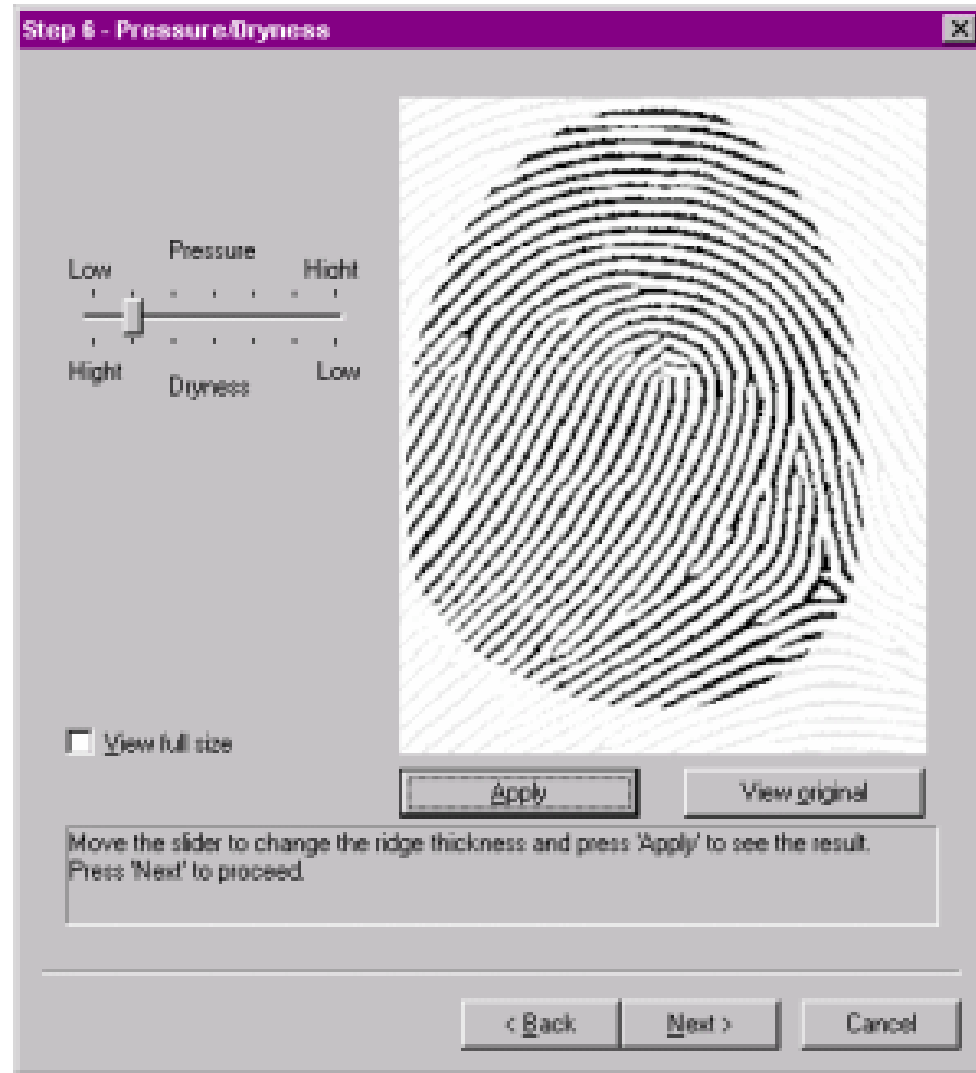


Dry fingerprint



Wet fingerprint

- The **erosion** operator is applied to simulate **dry** skin
- The **dilation** operator is adopted to simulate **wet** skin

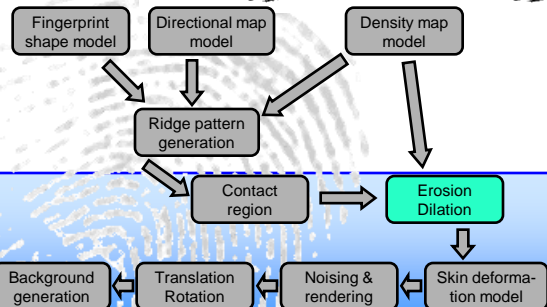
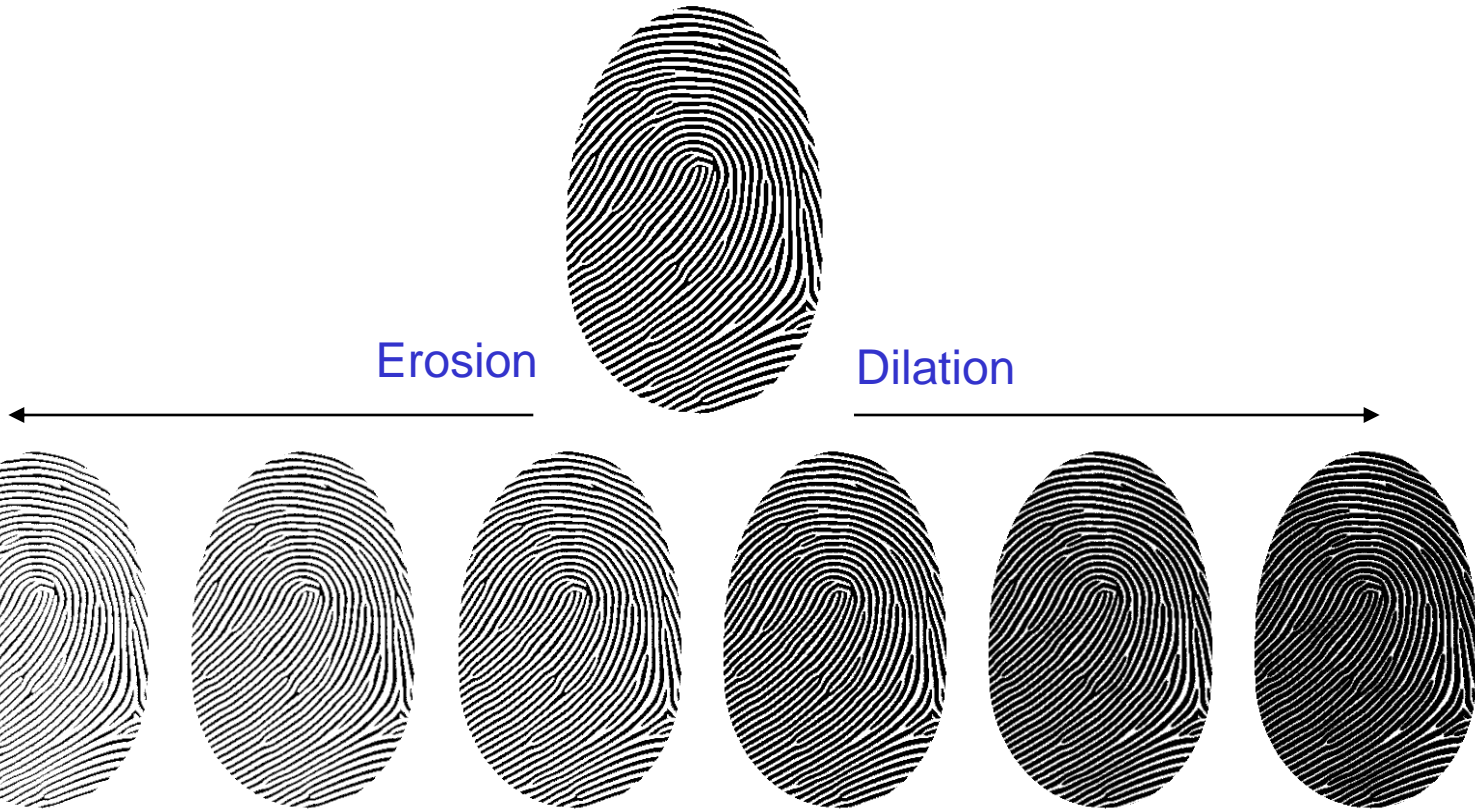


Ridge-line erosion and dilation (2)

Original image

Erosion

Dilation

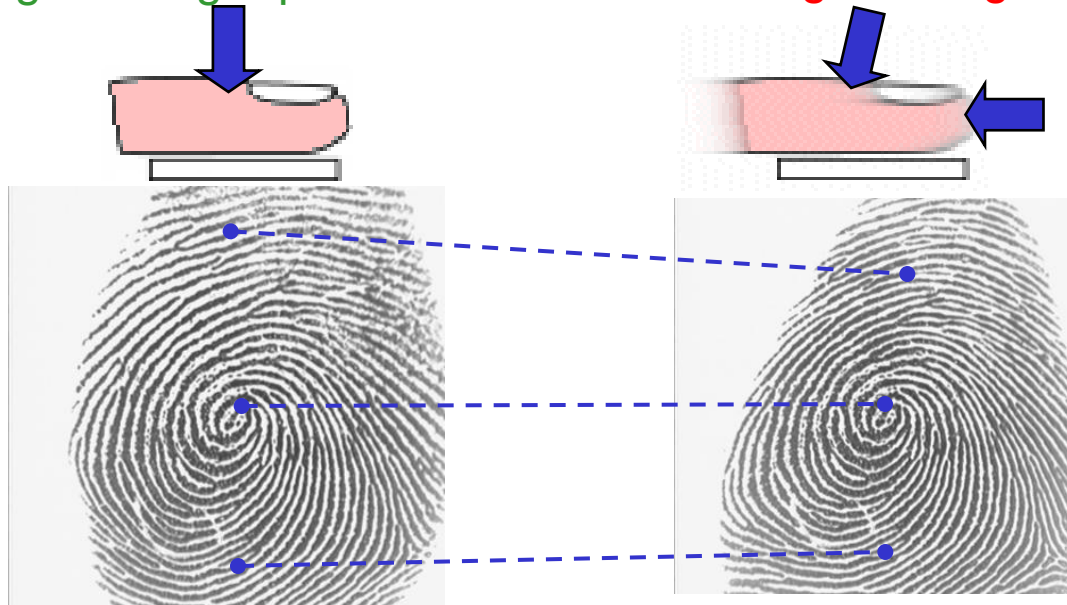


Skin distortion

One of the main factors that contribute to make substantially different the impressions of a given finger is skin distortion

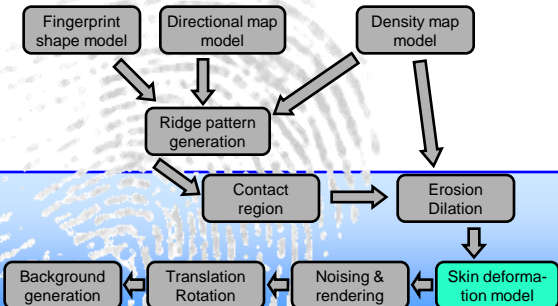
Orthogonal finger placement

Non-orthogonal finger placement



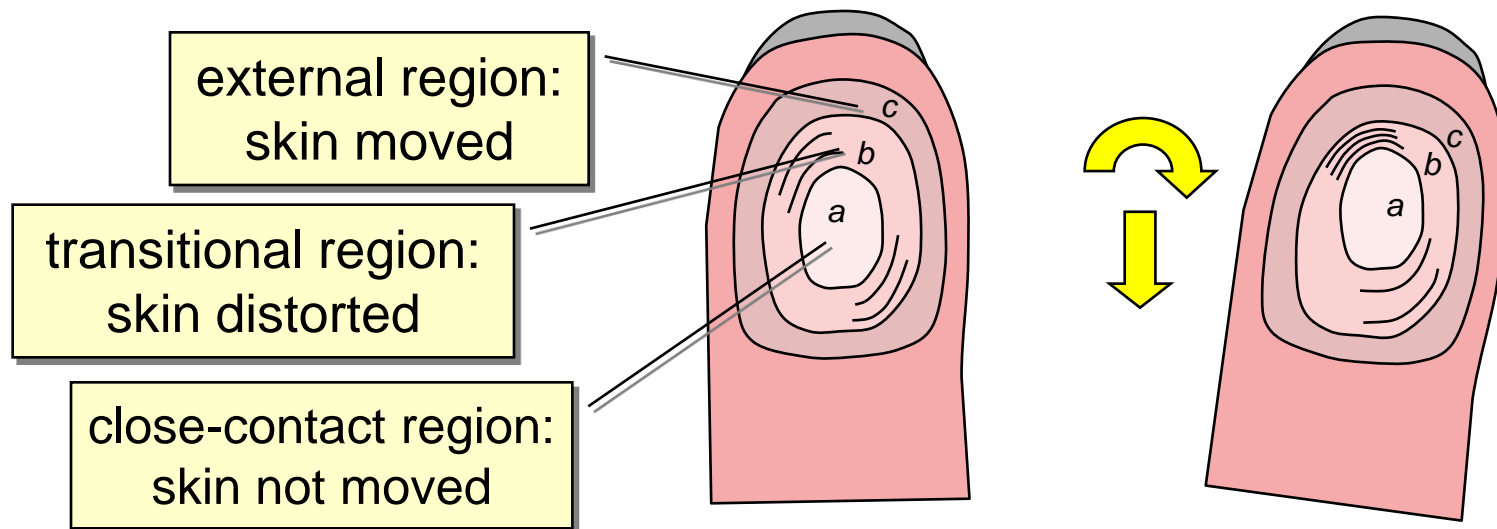
Non-distorted fingerprint

Distorted fingerprint

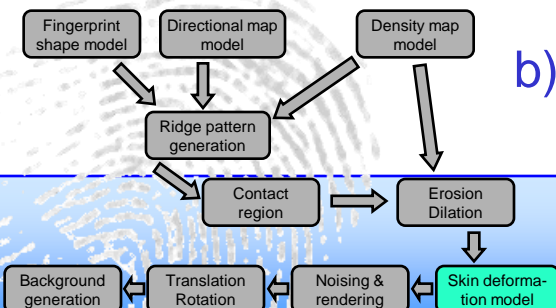


Skin distortion model (1)

The finger pressure against the sensor is not uniform, but decreases moving from the center towards the borders.

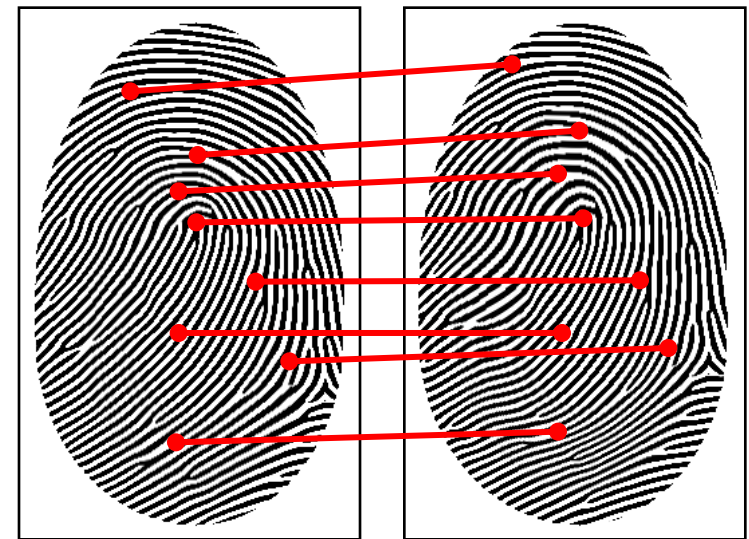
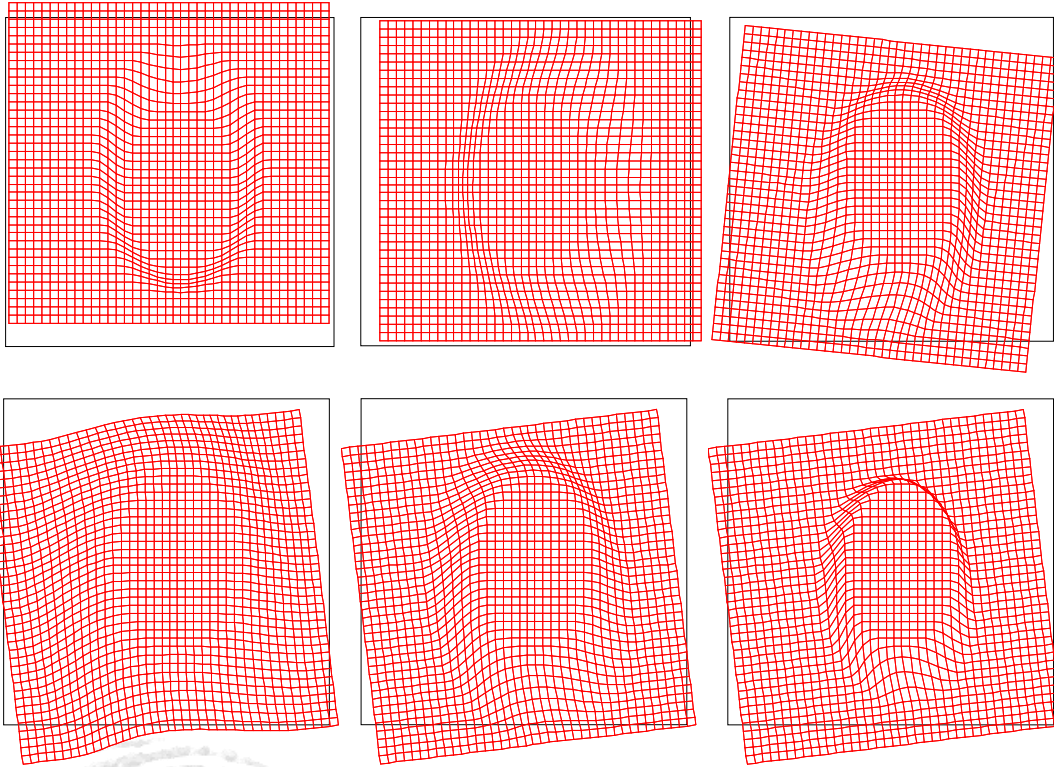


- a) close-contact region, where the high pressure and the surface friction does not allow any skin slippage
- c) external region, where the low pressure allows the skin to be dragged by the finger movement
- b) transitional region where an elastic distortion is produced to smoothly combine regions a and c



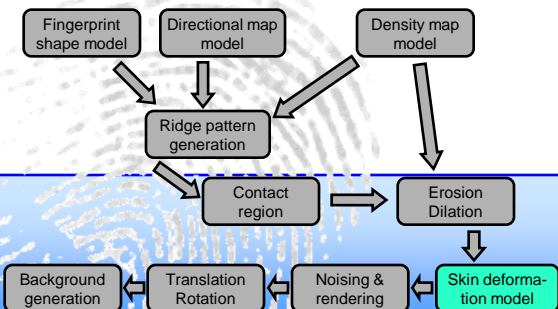
Skin distortion model (2)

$$\text{distortion} : \mathbb{R}^2 \rightarrow \mathbb{R}^2, \text{distortion}(\mathbf{v}) = \mathbf{v} + \Delta(\mathbf{v}) \cdot \text{shape_dist}_a(\mathbf{v}, \mathbf{k})$$



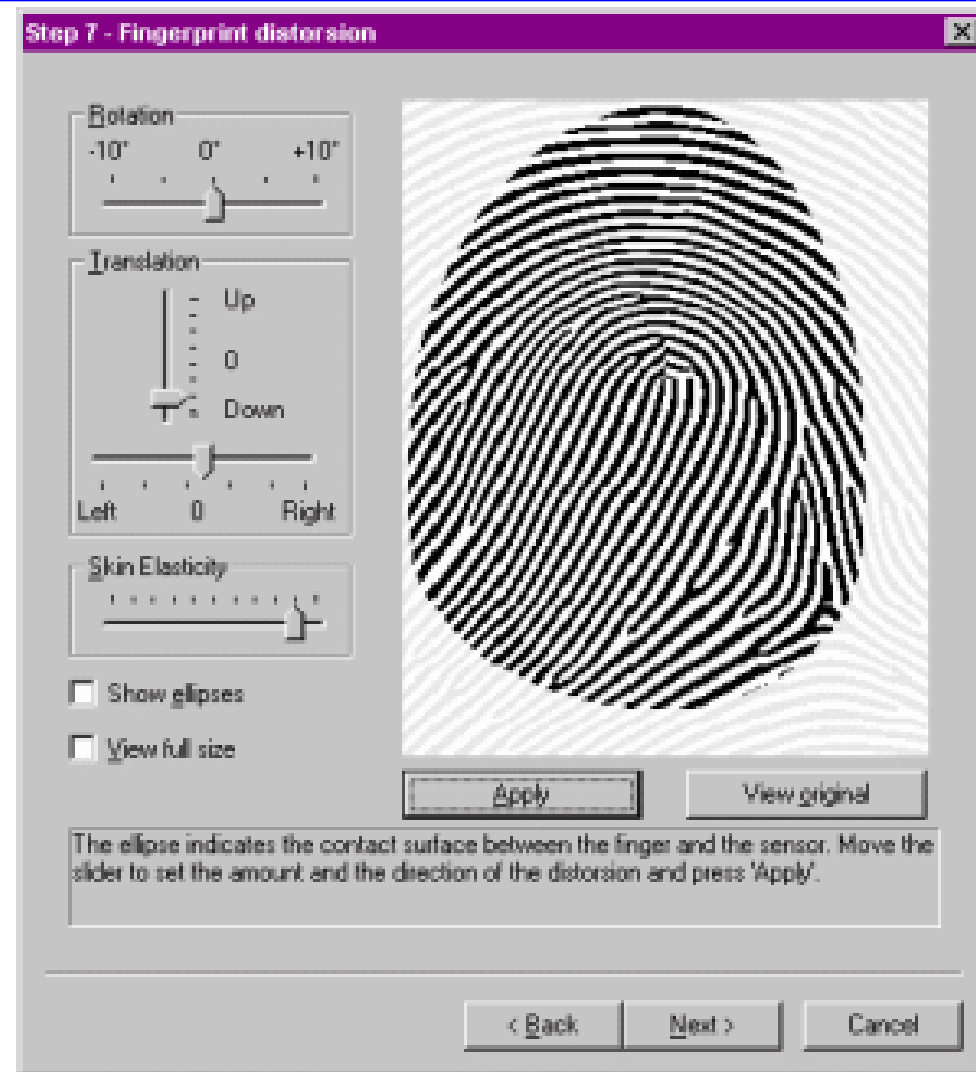
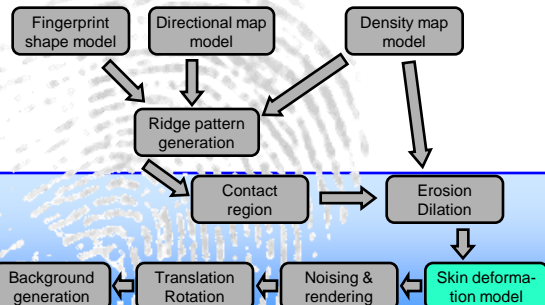
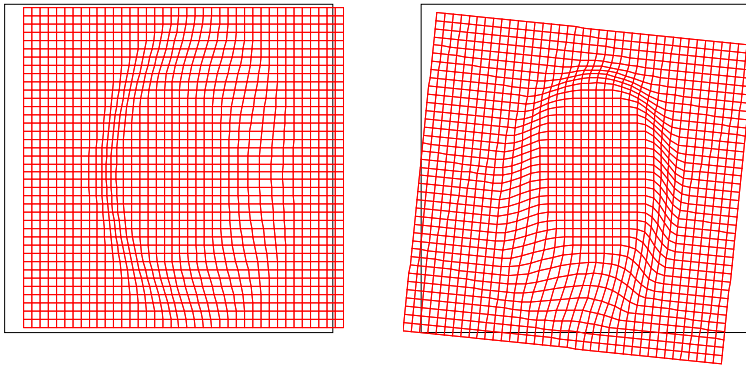
Original image

Distorted image



Skin distortion model (3)

The skin distortion model is applied to randomly generate **realistic impressions** of the same “synthetic finger”

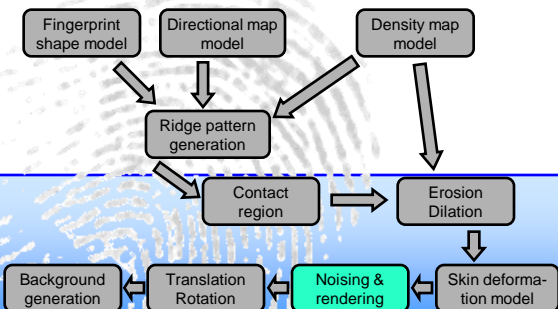


Noising and rendering

Several factors contribute to deteriorate the quality of real fingerprints:

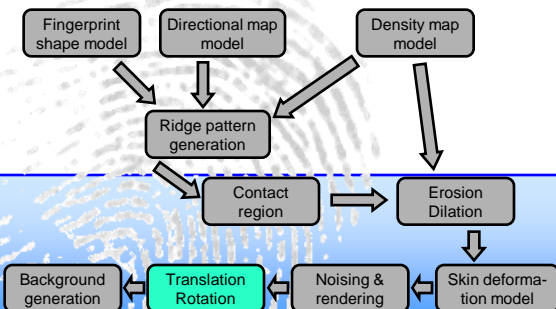
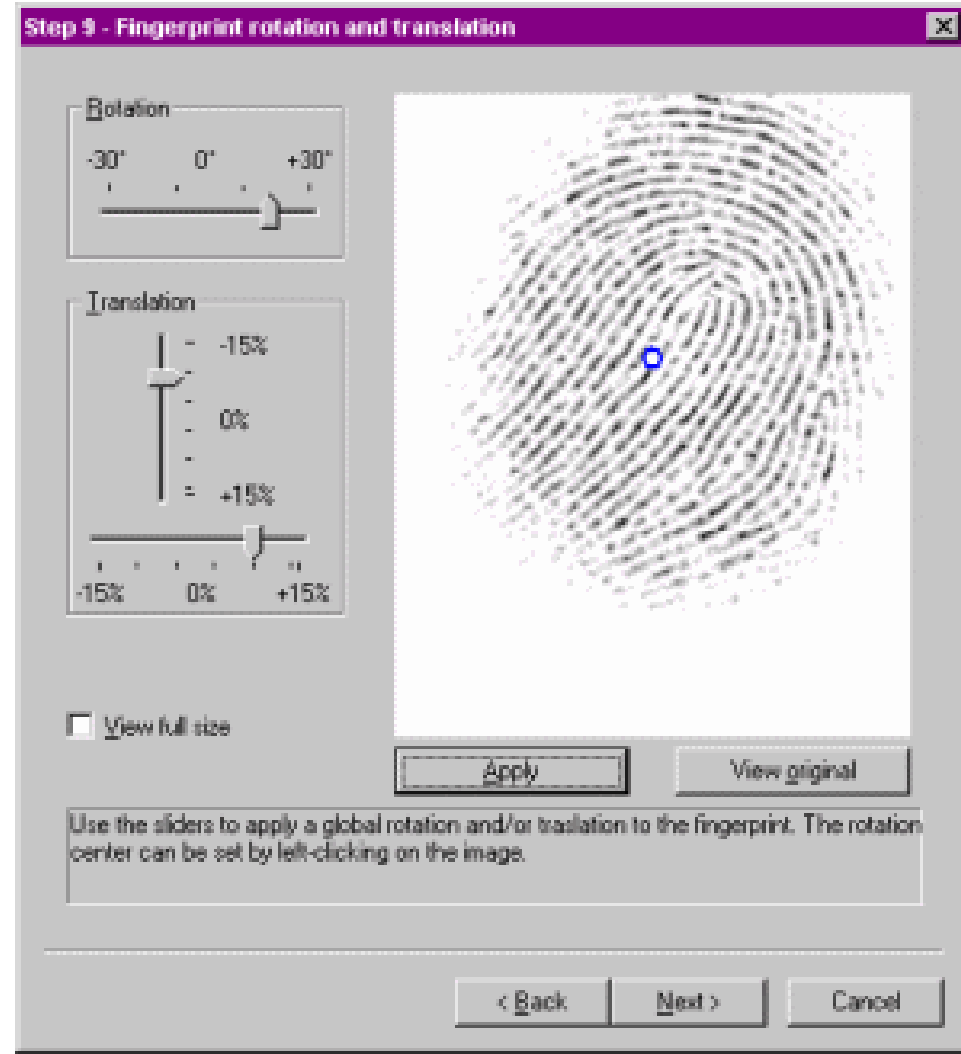
- **irregularity** of the ridges and their different contact with the sensor surface
- **small cuts** or **abrasions** on the fingertip
- presence of small **pores** within the ridges

SFinGe adds **specific noise** and applies an **ad-hoc smoothing** process to simulate real-fingerprints irregularities



Rotation and translation

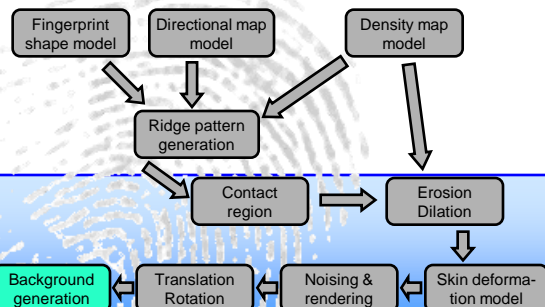
The image generated is randomly **rotated** and **translated**, to simulate real fingerprints, which usually are not perfectly centered and can present a certain amount of rotation



Background generation

A mathematical model based on the **KL transform** is applied to generate a **realistic background**, which is placed “behind” the fingerprint

Different background models can be created to simulate different acquisition technologies (e.g. optical, capacitive, ...)



Examples (1)

arch



tented arch



right loop



left loop



whorl



whorl



Model validation (1)

Fingerprint images generated by SFinGe appear **very realistic**

About 90 people (many of them having a good background in fingerprint analysis) have been asked to **find a synthetic fingerprint image among 4 images** (3 of which were real fingerprints).
The synthetic image proved to be not distinguishable from the others



A



B



C

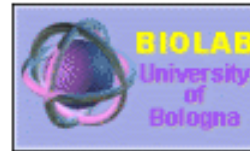


D

Poll results	
A	23%
B	27%
C	21%
D	29%

Model validation (2)

FVC2000



Fingerprint Verification Competition

A test has been performed in conjunction with FVC2000, where **one** of the four DB used (DB4) was synthetically generated by SFinGe:

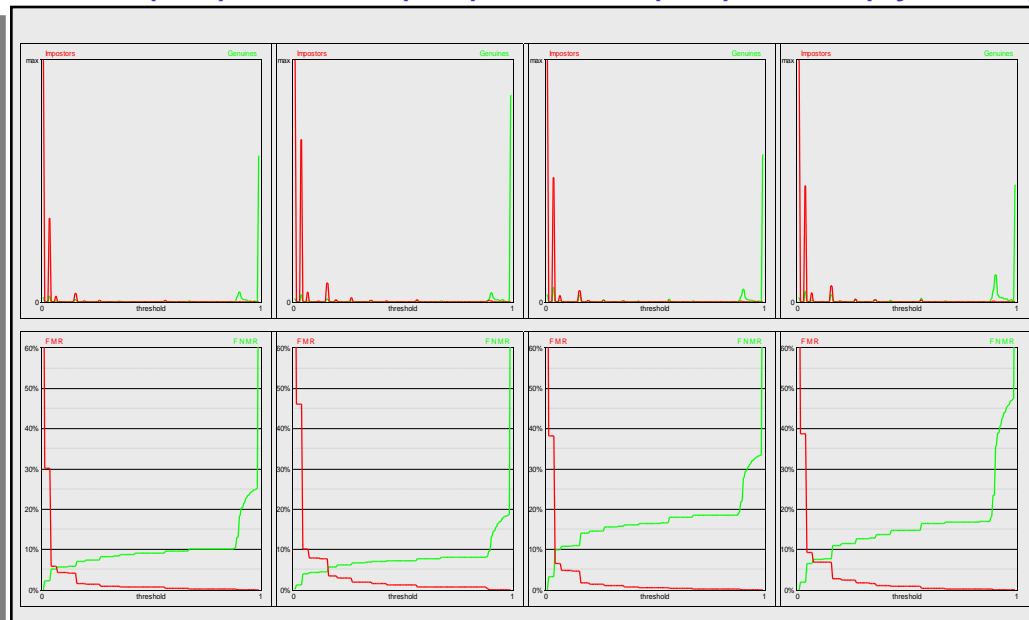
- The participant algorithms performed on DB4 similarly to the other DBs
- The genuine/impostor distributions and the ROC curves are also very close

DB1 (real)

DB2 (real)

DB3 (real)

DB4 (Synthetic)



This proves that the main inter-class and intra-class variation of fingerprints in nature are well captured by SFinGe

Model validation (3)



A more systematic analysis was performed on FVC2002 results.

$$RRD_i = \frac{|R_{i1} - R_{i2}| + |R_{i1} - R_{i3}| + |R_{i2} - R_{i3}|}{3}$$

*is the average ranking difference of **algorithm i** according to **indicator j**, among the three real databases; indicates how stable is the performance of **algorithm i** (according to **indicator j**) over the three databases*

$$SRD_i = \frac{|R_{i4} - R_{i1}| + |R_{i4} - R_{i2}| + |R_{i4} - R_{i3}|}{3}$$

*is the average ranking difference of **algorithm i** according to **indicator j**, between the synthetic database and each of the real database; denotes the amount of variation between synthetic and real databases*

Model validation (4)



The results are quite surprising !

The difference between DB4 (the synthetic DB) and the others are even smaller than the inter-difference among the three real databases.

	<i>EER</i>		<i>ZeroFM</i>		<i>FMR1000</i>		<i>FMR100</i>	
	RRD_i	SRD_i	RRD_i	SRD_i	RRD_i	SRD_i	RRD_i	SRD_i
Average	2.84	2.65	3.14	2.74	2.58	2.58	2.69	2.59
Max	8.67	11.33	11.33	7.67	7.33	5.67	8.00	10.67
Min	0.00	0.00	0.67	0.33	0.00	0.33	0.00	0.33
St. Dev.	2.51	2.43	2.35	1.76	1.94	1.45	2.15	2.36

Examples (2)

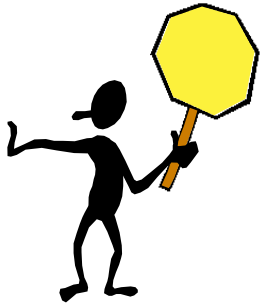


Examples (3)



Applications (1)

SFinGe is an effective technique to overcome the problem of collecting large fingerprint databases for training and testing purposes



When the performance of a fingerprint recognition system has to be measured referring to a given real environment, synthetic fingerprints cannot be used and real-fingerprint databases must be collected.

Several research groups and companies are currently using SFinGe to:

- compare different fingerprint matching algorithms
- train pattern recognition techniques that require large learning-sets (e.g. neural network, PCA,...)
- easily generate a large number of “virtual users” to develop and test medium/large-scale fingerprint-based systems (e.g. AFIS)

Applications (2)

Some possible scenarios:

1. A new fingerprint verification algorithm has to be tested to measure its robustness against fingerprint rotation

SFinGe can be used to generate some databases with an increasingly amount of rotation among different samples of the same fingers

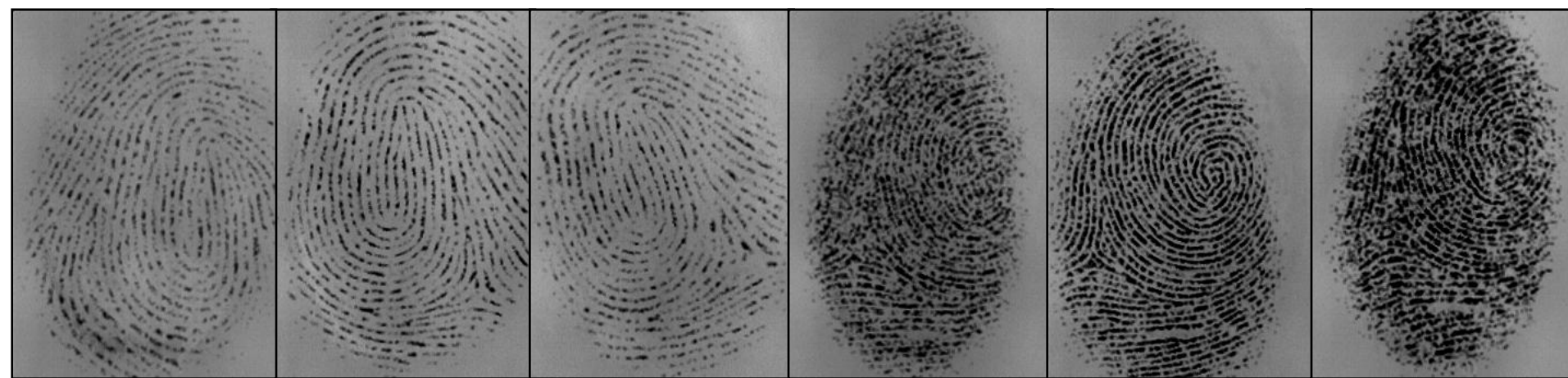


Applications (3)

Some possible scenarios:

2. Several algorithms have to be compared to evaluate which is the least affected by skin distortion and noise

SFinGe is able to automatically generate databases of highly distorted and/or noisy fingerprints



Applications (4)

Some possible scenarios:

3. In order to improve the performance of a fingerprint classification approach, a large set of high-quality fingerprints belonging to the left-loop class is needed

SFinGe can be tuned to generate a database of high-quality fingerprints belonging to a single class



Improving SFinGe noise model (1)

Noise is added in the form of small blobs of variable size and shape.

The probability of adding a noise blob at pixel (x,y) is:

$$p_n(x, y) = p_L \cdot (1 + d(x, y)^3)$$

$$d(x, y) = \begin{cases} 0 & \text{if } d_B(x, y) \geq t_B \\ (d_B - d_B(x, y)) / t_B & \text{otherwise} \end{cases}$$

Main limitation:

→ It distributes the noise **uniformly** over the entire fingerprint area, except for the borders where the amount of noise gradually increases.



Synthetic



Real

Improving SFinGe noise model (2)

Generating uniform noise using a simple random generator is not appropriate:

→ the result is a noise function that changes too abruptly over the space (**non-coherent noise**)

We need a noise function that:

→ is able to produce **coherent noise**

→ can be **efficiently** computed

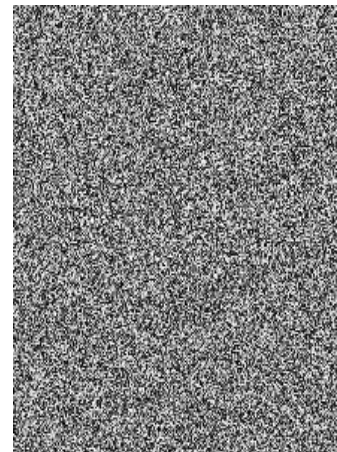
A practical solution:
Perlin Noise function



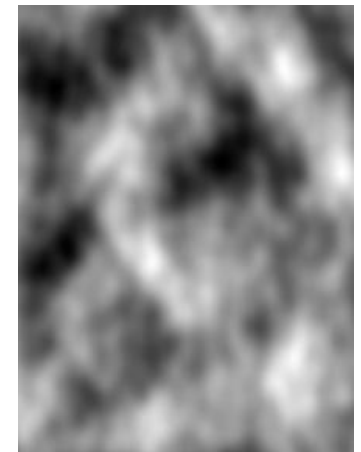
Synthetic



Real



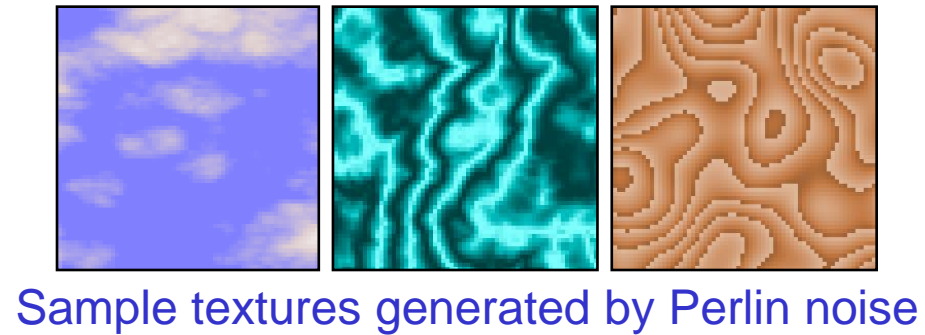
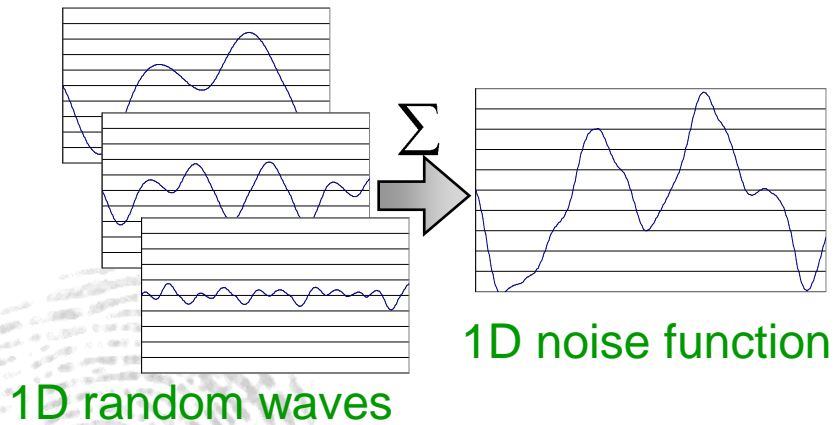
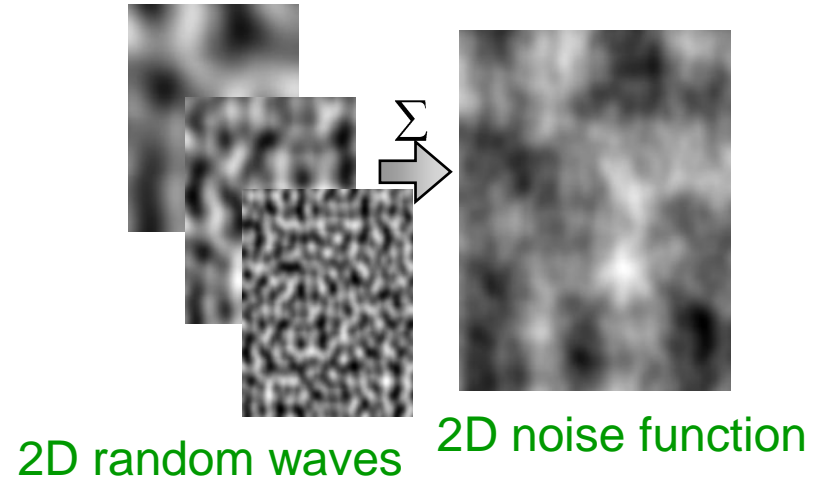
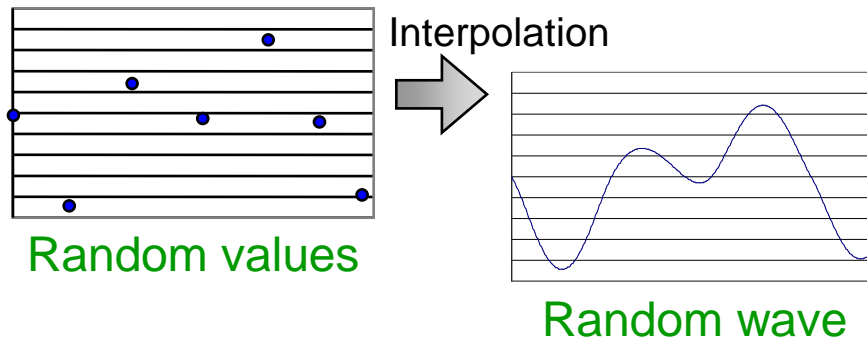
Non-coherent noise



Coherent noise

Perlin noise

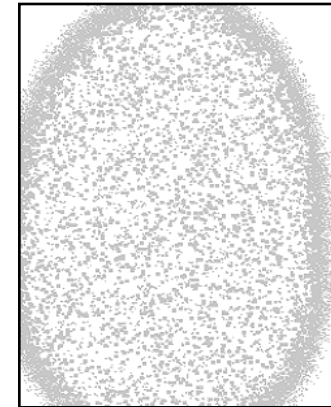
Perlin Noise (K. Perlin, 1985)



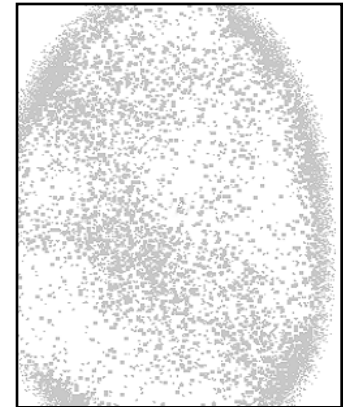
The improved noise model

Probability of adding a noise blob at pixel (x,y):

$$p'_n(x,y) = p_n(x,y) \cdot (+noise_{01}(x,y))^{\frac{1}{\alpha}}$$



Previous
model



New
model

Further noising step:



$$g(x,y) = \min(255, g(x,y) + 255 \cdot noise_{01}(x,y))$$

Before



After

Examples (1)



Previous model



Real fingerprint



Improved model

Examples



Previous model



Real fingerprint

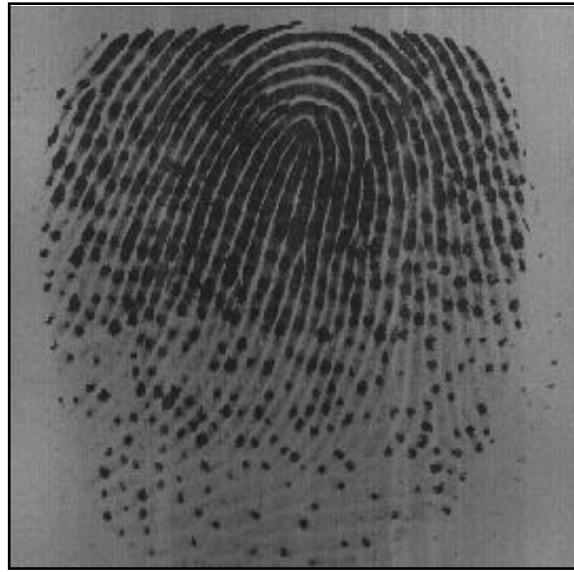


Improved model

Examples



Previous model



Real fingerprint



Improved model

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- <http://biolab.csr.unibo.it>

- Book chapter

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